

The rates for the Bank Deposit Sweep Program as of September 27, 2018, are set forth below; interest accrues daily and is paid monthly. Amounts reflecting interest paid will be presented on your brokerage account statements. The rates are subject to change at any time, without notice to you. For a current list of complete rates, please contact your Investment Representative.

**APY/Interest Rate Tiers for the Bank Deposit Sweep Program:**

Balance	Interest Rate	APY
\$0.01-\$4,999.99	0.31%	0.31%
\$5,000-\$24,999.99	0.31%	0.31%
\$25,000-\$49,999.99	0.31%	0.31%
\$50,000-\$99,999.99	0.31%	0.31%
\$100,000-\$499,999.99	0.66%	0.66%
\$500,000 and above	0.66%	0.66%